In re Application of:	:	Group Art Unit:
Bardsley, J. et al.	:	To Be Assigned
Serial No.:To Be Assigned	:	IPLaw T81/B503
	:	IBM Corporation
Filed:Concurrently Herewith	:	PO Box 12195
	:	RTP, NC 27709

Title: Limiting the Output of Alerts Generated by an Intrusion Detection Sensor During a Denial of Service Attack

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

Respectfully submitted,

By: _David R. Irvin_____
David R. Irvin, Agent
Reg. No. 42,682

DRI/ssc
Docket: RSW920010166US1
Phone: 919-543-7810 / Fax: 919-254-4330

Reference Designation   **US PATENT DOCUMENTS**

Examiner Initials		Document Number	Date	Name	Class/Subclass	Filing Date (If. Appro.)
	AA	6,012,087	01/04/00	Freivald et al.	709/218	05/20/98
	AB	5,919,258	07/06/99	Kayashima et al.	713/201	02/06/97

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class/Subclass	Translation Yes No
	AC	00/62167	10/19/00	WIPO	G06F 11/30	X

OTHER ART (Including Author, Title, Data, Pertinent Pages, etc.)

AD   IBM Technical Disclosure Bulletin, Vol. 39, No.09. 09/96 " Security Feature for Local Area Network Switches"

AE   Feingold, R. et al. "Verifying the Secure Setup of Unix Client/Servers and Detection of Network Intrusion", Proceedings of the SPIE, The International Society for Optical Engineering, vol. 2616, pp. 55-64, 1996

AF   Nong, Ye et al. "Application of Decision Tree Classifiers to Computer Intrusion Detection", Data Mining II. Second International Conference on Data Mining, pp. 381-390, July, 2000

AG   Hashim, SJ et al. "Computer Network Intrusion Detection Software Development" 2000 TENCON Proceedings. Intelligent Systems and Technologies for the New Millennium, IEEE Region 10, vol. 3, pp. 117-123, 2000

AH   Kent, S. "On the Trail of Intrusions into Information Systems" IEEE Spectrum, vol. 37, no. 12, pp. 52-56, Dec. 2000

AI   Wen, BS "Open-Source Intrusion-Detection Tools for Linux" Linux Journal, no. 78, pp. 104-110, Oct. 2000

AJ   Dickerson, JE et al. "Fuzzy Network Profiling for Intrusion Detection", PeachFuzz 2000. 19th International Conference of the North American Fuzzy Information Processing Society, IEEE System, pp. 301-306, July 2000

Con't

ATTY. DOCKET NO.
RSW920010166US1

Serial No. **TO BE ASSIGNED**

List of Patents and Publications for
Applicant's Information Disclosure Statement

APPLICANT: **Bardsley, J. et al.**

FILING DATE: **To be Assigned**

(Use several sheets if necessary)

GROUP: **To be Assigned**

Page 2

AK		Manganaris, S. et al. "A Data Mining Analysis of RTID Alarms" IBM Corp. Computer Networks, vol. 34, no. 4, pp. 571-577, Oct. 2000
AL		Petersen, KL. "IDA - Intrusion Detection Alert", Proceedings, The Sixteenth Annual International Computer Software and Applications Conference, IEEE, pp. 306-311, Sept. 1992.

Examiner _____   Date Considered _____

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance <u>and</u> not considered. Include copy of this form with next communication to applicant.